COMPANY PROFILE

Last updated: November 2026

This profile gives banks, counterparties and service providers the information they need to open a KYC file on Rathmar Trading, LLC, Rathmar Metals Ltd or Rathmar Metals A.Ş.: our corporate details, what we trade and how, and how our business is governed. The full KYC Pack adds our policies to this profile. Documents that are not published are listed in section 5 and are provided on request.

1. CORPORATE DETAILS

LEGAL NAME	Rathmar Trading, LLC
LEGAL FORM	Limited liability company
JURISDICTION OF FORMATION	State of Delaware, USA
ENERGY AND AGRICULTURE TRADING	Rathmar Trading, LLC
METALS AND MINERALS TRADING	Rathmar Metals Ltd, a company registered in England and Wales, registered office London Rathmar Metals A.Ş., a company incorporated in Türkiye, registered office Istanbul
HEADQUARTERS	Houston, Texas, USA · +1 281 699 8613
OFFICES	Zurich, Switzerland · +41 43 505 16 15 Istanbul, Türkiye · +90 212 287 6477
WEBSITE	rathmar.com
GENERAL INQUIRIES	info@rathmar.com
OPERATIONS (NOMINATIONS AND DOCUMENTS)	operations@rathmar.com
COMPLIANCE AND KYC REQUESTS	compliance@rathmar.com
SPEAK UP	speakup@rathmar.com

2. BUSINESS ACTIVITY

Rathmar is a physical commodities trading house. It buys, sells, ships and finances cargoes across three books:

- **Energy & Petrochemicals** — crude and refined products, petrochemicals, chemicals, oilfield chemicals, polymers and fuel components.
- **Agriculture** — grains and oilseeds, sugar, coffee, cocoa, cotton, and meat and protein.

- **Metals & Minerals** — gold, silver and platinum group metals, copper and base metals, battery metals, and rare earths and minor metals, traded by Rathmar Metals Ltd and Rathmar Metals A.Ş.

All three books are run through four in-house functions: trading (spot and term business, origination and placement of cargoes); logistics (tanker and dry-bulk chartering, and execution of container, reefer, ISO tank, breakbulk, rail and packed cargo); financing (letters of credit, or open account within approved credit limits); and risk management (price, credit and operational risk on every position).

3. COMPLIANCE FRAMEWORK

Compliance is led by a designated compliance officer who reports to senior management and has direct access to the governing bodies of each Rathmar company. It owns the policies listed in section 4 and approves higher-risk counterparties and transactions; no trader, desk head or office manager can override a Compliance decision to stop a trade.

- **Know your counterparty.** Every counterparty is risk-rated and verified before a contract is signed, through to ultimate beneficial owners holding 25 percent or more or exercising control. Enhanced due diligence applies to politically exposed persons, state-owned entities, higher-risk jurisdictions and opaque structures.
- **Sanctions and trade controls.** U.S. sanctions and export controls apply across all of our business. UN Security Council measures are respected, and EU, UK, Swiss and Turkish measures are applied wherever they apply to our offices, staff or transactions. Counterparties, owners, banks, vessels, ports and cargoes are screened before contract and again before nomination, loading and payment.
- **Payments.** No cash. Payments go to and come from accounts in the counterparty's own name, in its country of incorporation or operation. Third-party payments are permitted only under a signed tripartite agreement approved by Compliance.
- **Anti-bribery and corruption.** No bribes, facilitation payments or improper benefits, to public officials or private parties. Agents and intermediaries are vetted and bound by contract.
- **Human rights.** No forced labor, child labor or human trafficking in our business or supply chains. Suppliers and counterparties are bound by our Counterparty & Supplier Code.
- **Records and training.** Due-diligence and transaction records are kept for at least ten years after a relationship ends. Staff are trained on joining and every year after.

4. POLICIES

Anti-Money Laundering & KYC Policy	November 2026
Sanctions & Trade Controls Policy	November 2026
Anti-Bribery & Corruption Policy	November 2026
Code of Conduct	November 2026
Counterparty & Supplier Code	November 2026
Modern Slavery & Human Rights Statement	November 2026

The published text of each policy is also at rathmar.com/key-documents. Where a page gives a summary, the full policy is provided on request.

5. AVAILABLE ON REQUEST

The following are provided to banks and counterparties under a confidentiality undertaking where appropriate. Send requests to compliance@rathmar.com.

- Certificate of formation and certificate of good standing (State of Delaware)
- Certificate of incorporation (Rathmar Metals Ltd) and trade registry extract (Rathmar Metals A.Ş.)
- Ownership chart through to ultimate beneficial owners
- Financial statements
- Legal Entity Identifier (LEI)
- List of managers, officers and authorized signatories, with specimen signatures
- IRS Form W-9
- Bank reference letter and settlement instructions on company letterhead
- Signed copies of our policies
- Completed KYC questionnaires on the requesting bank's or counterparty's own form